



Business Account Application/CIP

Attn: New Accounts

PLEASE PRINT CLEARLY

Business Name: _____

Business is Native – Tribe _____ Non-Native

Type of Entity: Association/Cooperative Corporation Tribe/Municipality
 Limited Liability Company Partnership/LLP/LLLP Sole Proprietorship
 Estate or Trust Other _____

Tax ID Number/EIN: _____ Non-profit

Describe Business: _____

Markets Served: _____

Physical Address/ _____

Location: _____

Mailing Address: _____

Business Phone#: _____

Secondary Phone#: _____

E-mail Address: _____

Signer 1: _____
Full Name SSN DOB

Signer 2: _____
Full Name SSN DOB

Signer 3: _____
Full Name SSN DOB

Attached separate sheet for additional signers.

Number of Signatures Required for Withdrawals/Changes: _____

Enter the number of accounts you would like to open for each product:

For example: 2 Analysis Checking 1 Money Market

____ Analysis Checking ____ Business Checking ____ Interest Checking ____ Non-Profit Checking
(Restrictions Apply)

____ Money Market ____ Savings ____ CD (complete addendum)

Visa Debit Card(s) Online eBanking Cash Management: _____

How did you hear about Native American Bank? _____

Upon receipt and verification of your Business Account Application/CIP, Native American Bank will contact you and send a new account packet with documents to complete and further instructions.

Send the new account packet:

- By E-mail – E-mail Address: _____
- By FAX – Fax Number: _____
- By Mail to the Address Above.

Return this application/CIP:

By Email: info@nabna.com

By Fax: 720-963-5540

By Mail: Native American Bank
201 N Broadway
Denver, CO 80203



New Business Account Documentation Letter

Thank you for looking to Native American Bank to help with your business banking needs! We are excited to begin a partnership and help you manage the financial aspects of your business. To begin opening your business account with Native American Bank, we will require a few documents in addition to the Business Account Application. These documents include, but are not limited to:

Business Formation Documents – Filed Copies

- Association/Cooperative – Letter of Authorization, Public Meeting Minutes
- Corporation – Articles of Incorporation
- Tribal Government – Confirmation of federally registered and filed constitution
- Limited Liability Company – Articles of Organization
- Partnership/LLP/LLLP – Partnership Agreement
- Sole Proprietorship - Tribal Business License (res only)/DBA/Fictitious Name Filing
- Trust – At least 1st and last pages of Trust Agreement
- Estate – Court Order designating Personal Representative(s)

Tribal Business License

- When conducting business on reservation

TIN Verification

- Copy of IRS Letter or first page of filed tax returns

Certification of Beneficial Ownership (included)

- Controller prong designation required
- Description of ownership if no 25% owners
- Indication of more than one account opened

IDs for all owners and signers will need to be gathered, either by NAB staff in person, or by completing a Notarized ID form. Our friendly staff is available to help answer any questions you may have regarding these documents and what information should be required. You may call your local branch during business hours, email info@nabna.com, or come visit us in person!

Denver, CO
201 N Broadway
Denver, CO 80203

Main: 720.963.5511
Fax: 303.988.5533

9-5 Monday – Friday

Browning, MT
105 North Public Square
P.O. Box 730
Browning, MT 59417

Main: 406.338.7000
Fax: 406.338.7008

8-4 Monday – Thursday
8-5 Friday

Thank you,

Native American Bank, N.A.



Certification Regarding Beneficial Owners of Legal Entity Customers

I. General Instructions

What is this form?

To assist the government in fighting financial crime, federal regulation requires certain financial institutions to obtain, verify, and record information about the beneficial owners of legal entity customers. Legal entities can be abused to disguise involvement in terrorist financing, money laundering, tax evasion, and other financial crimes. Requiring the disclosure of key individuals who own or control a legal entity (i.e., the beneficial owners) helps law enforcement investigate and prosecute these crimes.

Who has to complete this form?

This form must be completed by the person(s) opening the account on behalf of a legal entity with any of the following U.S. financial institutions: (i) a bank or credit union; (ii) a broker or dealer in securities; (iii) a mutual fund; (iv) a futures commission merchant; or (v) an introducing broker in commodities.

For the purposes of this form, a **legal entity** includes a corporation, limited liability company, partnership, and any other similar business entity formed in the United States.

What information do I have to provide?

This form requires you to provide the name, address, date of birth and social security number for the following individuals:

- (i) Each individual, if any, who owns, directly or indirectly, 25 percent or more of the legal entity customer (e.g., each natural person that owns 25 percent or more of the corporation); **and**
- (ii) An individual with significant responsibility for managing the legal entity customer (e.g., a Chief Executive Officer, Chief Financial Officer, Chief Operating Officer, Managing Member, General Partner or VP)

The number of individuals that satisfy this definition of "beneficial owner" may vary. Under section (i), depending on the factual circumstances, up to four individuals (but as few as zero) may need to be identified. Regardless of the number of individuals identified under section (i), you must provide the identifying information of one individual under section (ii). It is possible that in some circumstances the same individual may be identified under both sections.

The financial institution may also ask to see a copy of a drivers license or other identifying document for each beneficial owner listed on this form.

II. Certification of Beneficial Owner(s)

Persons opening an account on behalf of a legal entity must provide the following information.

a. Name and Title of Natural Person Opening Account:

b. Name and Address of Legal Entity for Which the Account is Being Opened

c. The following information (basic CIP) for each individual, if any, who, directly or indirectly, through any contract, arrangement, understanding, relationship or otherwise, owns 25 percent or more of the equity interests of the legal entity listed above:

(If no individual meets this definition, please write "Not Applicable")

Name	DOB	SSN	Physical Address	Ownership %

d. The following information for one individual with significant responsibility for managing the legal entity

Name	DOB	SSN	Physical Address

*** I, _____ (name of natural person opening account), hereby certify, to the best of my knowledge, that the information provided above is complete and correct.

Signature: _____

Date _____